

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

PTC
21707 U.S.
10/620133
07/15/03UTILITY
PATENT APPLICATION
TRANSMITTAL(Only for new nonprovisional applications under
37 C.F.R. 1.53(b))

Attorney Docket No.

0717.1063-007

First Named Inventor or
Application Identifier

Duy-Phach Vu

Express Mail Label No.

EV 214935613 US

Title of
Invention

METHOD OF FORMING AN ACTIVE MATRIX DISPLAY

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification **Total Pages [19]**
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) **Total Sheets [11]**
☒ Fig. of the Drawings for Publication [1]
☐ No Figure to be Published
4. ☒ Oath or Declaration **Total Pages [3]**
- a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior
application, see 37 C.F.R. 1.63(d)(2)
and 1.33(b).
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form
 - b. ☐ Paper Copy (identical to computer copy)
[] Pages
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & documents)
☒ Assignee -
Kopin Corporation
Taunton, MA
8. ☐ Power of Attorney [] 37 C.F.R. 3.73(b) Statement
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 [] Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard
13. ☐ Small Entity Statement(s)
- 14a. ☐ Foreign Priority Claim under 35 U.S.C. §119 or 365
- 14b. ☐ Certified Copy of Priority Document(s)
15. ☐ Nonpublication Request (check parent application)
16. ☒ Other Remarks/Change of Correspondence Address

17. If a CONTINUING APPLICATION, check appropriate box; supply the requisite information.

☒ Continuation [] Divisional [] Continuation-in-part (CIP) of prior application No.: 09/465,140

Prior application information: Examiner: Kenneth Parker

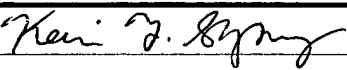
Group Art Unit: 2871

The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.

(Add standard Related Applications section with incorporation by reference to specification or update same)

18. CORRESPONDENCE ADDRESS

NAME	Customer No. 021005				
	HAMILTON, BROOK, SMITH & REYNOLDS, P.C.				
ADDRESS	530 Virginia Road, P.O. Box 9133				
CITY	Concord	STATE	MA	ZIP CODE	01742-9133
COUNTRY	USA	TELEPHONE	(978) 341-0036	FAX	(978) 341-0136

Signature		Date	7/15/03
Submitted by Typed or Printed Name	Kevin T. Shaughnessy	Reg. Number	51,014

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

FEE TRANSMITTAL FOR PATENT APPLICATIONS	Attorney Docket Number	0717.1063-007
	Application Number	Continuation of 09/465,140
	First Named Inventor	Duy-Phach Vu

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	6 - 20* =	0	x \$ 18 =	\$ 0
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	1 - 3** =	0	x \$ 84 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 280 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 750
				Total of above Calculations =	\$ 750
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$
				TOTAL =	\$ 750
	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =				\$
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$
	Assignment Recordation Fee = (only when filed with application)				\$
	[Other]				\$
	* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent			TOTAL =	\$ 750

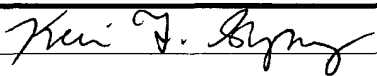
1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$750.00. ☐ Please charge \$[] to Deposit Account No. 08-0380.

4. ☐ Other: _____

Signature		Date	7/15/03
Submitted by Typed or Printed Name	Kevin T. Shaughnessy	Reg. Number	51,014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Duy-Phach Vu, Brenda D. Dingle, Jason E. Dingle and Ngwe Cheong

Continuation Application of

Application No.: 09/465,140

Filed: December 16, 1999

For: METHOD OF FORMING AN ACTIVE MATRIX DISPLAY

<p>Date: <u>07-15-03</u></p> <p>EXPRESS MAIL LABEL NO. <u>EV2149 3561.3 US</u></p>
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REMARKS/CHANGE OF CORRESPONDENCE ADDRESS

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Alexandria, VA 22313-1450

Sir:

The above-captioned application is a continuation of application number 09/465,140 filed on December 16, 1999 to which priority is claimed under 35 U.S.C. §120.

The specification of the present application is substantially the same as that of the parent application. The related applications paragraph has been revised to include the parent application and to update the status of the related applications.

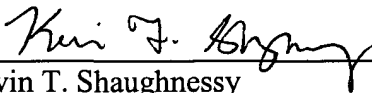
The specification has been revised to incorporate amendments entered in the parent application. No new matter has been added.

All of the claims from the parent application have been omitted. A new claim set is being presented herein for examination. A newly executed declaration under 37 C.F.R. 1.63 is not believed to be necessary under 37 C.F.R. 1.63(d) or 1.67(b).

Pursuant to 37 C.F.R. 1.63(d)(4), please note that a new Power of Attorney and a Notice of Change of Correspondence Address was submitted in parent Application No. 09/465,140. Please send all correspondence in the above-identified continuation application to: Customer No. 021005; Hamilton, Brook, Smith & Reynolds, P.C., 530 Virginia Road, P.O. Box 9133, Concord, Massachusetts 01742-9133. In addition, please direct all telephone calls to **N. Scott Pierce** at (978) 341-0036, and all facsimile communications to (978) 341-0136.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 
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Dated: 7/15/03